

# Legislative Bill/Resolution

2011-2012 Legislative Session

## Senate Bill 428

Relating to: financial exploitation of vulnerable adults with securities accounts, violations of the Wisconsin Uniform Securities Law, granting rule-making authority, and providing a penalty.

1)

### AARP



For

Notified Date: 1/16/2020

2)

### Alzheimer's Association



For

Notified Date: 9/19/2019

3)

### Greater Wisconsin Agency on Aging Resources



Other



Notified Date: 1/21/2020

4)

### NAIFA-Wisconsin, Inc



For

Notified Date: 9/26/2019

5)

### National Association of Social Workers - Wisconsin Chapter



For

Notified Date: 12/24/2019

6)

### Professional Insurance Agents of Wisconsin



For

Notified Date: 11/11/2019

7)

### State Bar of Wisconsin

 **Against** 

**Notified Date:** 9/18/2019

8)  
**Thrivent Financial for Lutherans**

 **For**

**Notified Date:** 12/9/2019

9)  
**Wisconsin Bankers Association**

 **For**

**Notified Date:** 9/27/2019

10)  
**Wisconsin Mortgage Bankers Association**

 **For**

**Notified Date:** 1/24/2020